

Ancillary Fees Not Covered By the Beagle Fee

Below is a list of ancillary fees Beagle Invest clients (“Clients”) may incur that are not included in the monthly membership fee. These fees are incurred based on a Client’s request, beyond our control and accordingly excluded from the fees and services covered by the monthly membership. Should a Client incur any of these fees, such Client will be responsible for their payment in accordance with the terms and conditions of our Customer Agreements and such Client’s other account opening agreements.

This list of fees is the current list of ancillary fees, but we reserve the right to change these fees in the future.

A. Banking:

- Bank Transfer (ACH) Incoming – \$0
- Bank Transfer (ACH) Outgoing- \$0
- Outgoing Wire Transfers (Domestic Bank) \$25.00
- Incoming Wire Transfers (Domestic Bank) - \$8.00
- Paper Check Draft (USD) Domestic - \$5.00
- Returned Checks / ACH / Wires - \$30.00
- ACH Notice of Correction - \$5.00
- Stop Payments on Apex Issued Checks - \$30.00
- Check Copies - \$15.00
- Overnight Mail – \$50.00 Domestic (\$100 International)
- Outgoing ACAT Transfers - \$75.00 per account

B. Account Operations:

- Paper Confirms - \$2.00 per confirm
- Paper Statements (monthly and quarterly) - \$5.00 per statement
- Dividend Check - \$5.00 per item
- Paper Statement Mailed Insert - \$0.25 per page mailed
- Paper Prospectus – \$2.50 per prospectus mailed
- Escheatment Processing - \$75.00 per account
- Account Holder Escheatment Notifications - \$2.00 per notification
- Paper Tax Statements - \$5.00 per statement
- Paper Tax Form 5498 - \$5.00 per tax form
- Retirement Account Closing Fee - \$30.00 per retirement account